

033004 17607 U.S. PTO

Attorney Docket No.: SONY-50T5470.01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Patent Application**

22856 U.S. PTO  
10/814082  
033004

I hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail Postage and an Express Mail label, with the below serial number, addressed to the Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on the below date of deposit.

|                         |               |   |                     |
|-------------------------|---------------|---|---------------------|
| Express Mail Label No.: | EV428358519US | Name of Person Making the Deposit:          | ANTHONY CHOU        |
| Date of Deposit:        | 03/30/04      | Signature of the Person Making the Deposit: | <i>Anthony Chou</i> |

Inventor(s): Fusao Ishii

Title: METHODS FOR PATTERNING SUBSTRATES HAVING ARBITRARY AND UNEXPECTED DIMENSIONAL CHANGES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Transmittal of a Patent Application  
(Under 37 CFR §1.53)

Transmitted herewith is the above identified patent application, including:

- ☒ Specification, claims and abstract, totaling 42 pages.  
☐ Formal drawings, totaling \_\_\_\_\_ pages.  
☒ Informal drawings, totaling 8 pages.  
☒ Declaration and Power of Attorney.  
☒ Information Disclosure statement.  
☒ Form 1449  
Assignment(s)  
Assignment Recordation Form (duplicate)  
☒ Other: REFERENCES

**Amendments, Priority Claim**

**35 U.S.C. 119**

☐ Priority of Application Number \_\_\_\_\_ filed on \_\_\_\_\_  
in \_\_\_\_\_ is claimed under

35 U.S.C. 119.

☐ The certified copy has been filed in prior U.S. Application No. \_\_\_\_\_

☐ The certified copy will follow. \_\_\_\_\_

**35 U.S.C. 120, 121 and 365(c)**

"This application is a continuation of and claims the benefit of copending application(s)

- ☐ application number \_\_\_\_\_ filed on \_\_\_\_\_  
☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_

and which designated in the U.S."

The PTO did not receive the following  
listed item(s) DECLARATION AND POA

☒ Amend this specification by inserting, before the first line, the following sentence:

"This application claims priority to the copending application(s)

☒ Application Number 60/475,801 filed on 06/03/03

which is hereby incorporated by reference to this specification

☐ International Application filed on

which designated the U.S."

## FEES DUE

The fees due for filing the specification pursuant to 37 C.F.R. § 1.16 and for recording of the Assignment, if any, are determined as follows:

| CLAIMS   |                  |           |                 |          |                   |
|--|------------------|-----------|-----------------|----------|-------------------|
|  | NO. OF<br>CLAIMS |           | EXTRA<br>CLAIMS | RATE     | FEES              |
| Basic Application Fee  |                  |           |                 |          | \$770.00          |
| Total Claims   | 53               | Minus 20= | 23              | X \$18 = | \$414.00          |
| Independent<br>Claims  | 3                | Minus 3=  | 0               | X \$86=  | \$0.00            |
| If multiple dependent claims are presented, add \$290.00                   |                  |           |                 |          | \$0.00            |
| Add Assignment Recording Fee of \$40.00 If Assignment document is enclosed |                  |           |                 |          | \$0.00            |
| <b>TOTAL APPLICATION FEE DUE</b>   |                  |           |                 |          | <b>\$1,184.00</b> |

## PAYMENT OF FEES

The full fee due in connection with this communication is provided as follows:

1. Not enclosed

[ ] No filing fee is to be paid at this time.

2. Enclosed

[ X ] Filing fee

[ X ] Recording assignment

[ X ] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.

☒ A check in the amount of \$1,184.00

☐ Charge any fees required or credit any overpayments associated with this filing to  
Deposit Account No.: 23-0085.

This application is filed pursuant to 37 C.F.R. § 1.53 in the name of the above-identified  
Inventor(s).

☒ Customer No: 000041066

Please direct all correspondence concerning the above-identified application to the  
following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

☒ This transmittal ends with this page.

Respectfully submitted,

Date: \_\_\_\_\_

3/30/2004

By: \_\_\_\_\_



Anthony C. Murabito  
Reg. No. 35,295